

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.73

In Re Application Of: **CHANNEL ASSIGING METHOD AND COMMUNICATION APPARATUS**

Serial No.
09/889,000

Filing Date
June 22, 2001

Examiner

Group Art Unit
2661

Title: **CHANNEL ASSIGING METHOD AND COMMUNICATION APPARATUS**

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Address to:

Commissioner for Patents
P.O. Box 1450
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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

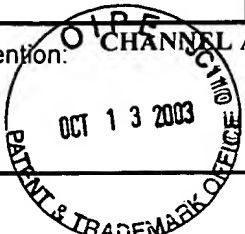

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

10-14-03

GAU-2661

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): Shinji Uebayashi et al.			15689.73
Serial No.	Filing Date	Examiner	Group Art Unit
09/889,000	June 22, 2001		2661
Invention: CHANNEL ASSIGNING METHOD AND COMMUNICATION APPARATUS			
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I hereby certify that the following correspondence:		OCT 20 2003	
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(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
<u>October 13, 2003</u> (Date)			
<u>ADRIAN J. LEE</u> (Typed or Printed Name of Person Mailing Correspondence)			
 (Signature of Person Mailing Correspondence)			
<u>EV302233916US</u> (Express Mail Mailing Label Number)			
Note: Each paper must have its own certificate of mailing.			
Transmittal for Information Disclosure Statement (2 pages; duplicate); Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages); Form PTO-1449 Listing of References (1 page); Legible Copy of References; (2 references) Certificate of Express Mail (EV302233916US); Acknowledgment Postcard			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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In Re Application: **CHANNEL ASSIGING METHOD AND COMMUNICATION APPARATUS**

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CHANNEL ASSIGNING METHOD AND COMMUNICATION APPARATUS

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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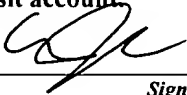
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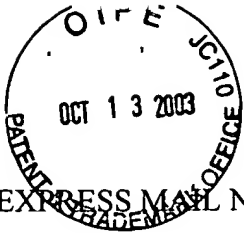


Signature

Dated: **October 13, 2003**

Adrian J. Lee
Attorney for Applicant
Reg. No.: 42,785

CC:



EXPRESS MAIL NO. EV302233916 US

PATENT APPLICATION

Docket No: 15689.73

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shinji Uebayashi et al.

Serial No.: 09/889,000

Filing Date: June 22, 2001

Confirmation No.: 3372

For: CHANNEL ASSIGNING METHOD AND
COMMUNICATION APPARATUS

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) Art Unit
) 2661
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

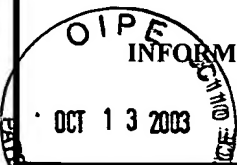
DATED October 10, 2003.

Respectfully submitted,



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Attorney for Applicant
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Customer No.: 022913

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<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div>	Docket Number (Optional) 15689.73	Application Number 09/889,000
	Applicant(s) Shinji Uebayashi et al.	
	Filing Date June 22, 2003	Group Art Unit 2661

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	EP 0975184 A1 ✓	1/26/2000	Europe	H04Q7	36	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	2	SIEMENS XP-002193759 UMTS Opening Up a World of Opportunities Information and Communications Copyright 1999

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.